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February 19, 2004

Commissioner For Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Re: Applicant(s): Roman C. Gutierrez; Tony K. Tang  
Assignee: SiWave, Inc.  
Title: Snap-Down Pivoting Optical Element  
Serial No.: 10/660,816 Filed: 09/12/2003  
Examiner: Unknown Group Art Unit: 2872  
Docket No.: M-9919-1D US

Dear Sir:

Transmitted herewith are the following documents in the above-identified application:

- (1) Return Receipt Postcard;
- (2) This Transmittal Letter (in duplicate);
- (3) Information Disclosure Statement; and
- (4) PTO-1449 with copy of one (1) cited reference.



No additional fee is required.

The fee has been calculated as shown below:

## CLAIMS AS AMENDED

	Claims Remaining <u>After</u> <u>Amendment</u>		Highest No. <u>Previously Paid</u> <u>For</u>		Present <u>Extra</u>		Rate	Additional Fee
Total Claims	2	Minus	20	=	0	x	\$18.00	\$ 0
Independent Claims	2	Minus	3	=	0	x	\$86.00	\$ 0
<input type="checkbox"/> Fee of _____ for the first filing of one or more multiple dependent claims per application							\$	
<input type="checkbox"/> Fee for Request for Extension of Time							\$	

### Total additional fee for this Amendment:

\$

Conditional Petition for Extension of Time: If an extension of time is required for timely filing of the enclosed document(s) after all papers filed with this transmittal have been considered, an extension of time is hereby requested.

Please charge our Deposit Account No. 50-2257 in the amount of \$

Also, charge any additional fees required and credit any overpayment to our Deposit Account No. 50-2257

Total: \$ 0

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on February 19, 2004.

Eric Hoover

February 19, 2004  
Date of Signature

Respectfully submitted,

Jon W. Hallman  
Attorney for Applicants  
Reg. No. 42,622

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**



Applicants: Roman C. Gutierrez; Tony K. Tang  
Assignee: SiWave, Inc.  
Title: Snap-Down Pivoting Optical Element  
Serial No.: 10/660,816 Filing Date: 09/12/2003  
Examiner: Unassigned Group Art Unit: 2872  
Docket No.: M-9919-1D US

Irvine, California  
February 19, 2004

COMMISSIONER FOR PATENTS  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

**UNDER 37 C.F.R. §1.97 AND § 1.98**

Dear Sir:

Pursuant to 37 C.F.R. §1.97 and §1.98, Applicant calls the following document (copy enclosed) to the attention of the Examiner. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

A PTO form 1449 listing these documents is enclosed.

Citation of the above documents shall not be construed as:

1. an admission that the documents are necessarily prior art with respect to the instant invention;

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2. a representation that a search has been made, or
3. an admission that the information cited herein is, or is considered to be material to patentability as defined in §1.56(b).

Applicant(s) believes that no fee is required for submission of this statement. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 50-2257. Please deduct any additional fees from, or credit any overpayment to the above-noted Deposit Account.

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, Alexandria, VA 22313-1450, on February 19, 2004.



Eric Hoover

February 19, 2004

Respectfully submitted,



Jon W. Hallman  
Attorney for Applicant(s)  
Reg. No. 42,622

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U.S. Department of Commerce, Patent and Trademark Office				Atty Docket No.	Serial No.			
				M-9919-1D US	10/660,816			
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)				Applicant(s)				
				Roman C. Gutierrez; Tony K. Tang				
FEB 23 2004				Filing Date	Group			
				09/12/2003	2872			
U.S. Patent Documents								
*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate	
	AA	5,673,139	09/30/1997	Johnson				
	AB							
	AC							
	AD							
	AE							
	AF							
	AG							
	AH							
	AI							
	AJ							
	AK							
Foreign Patent Documents							Translation	
		Document	Date	Country	Class	Subclass	Yes.	No
	AL							
	AM							
	AN							
	AO							
	AP							
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)								
	AQ							
	AR							
	AS							
Examiner		Date Considered						
<p>*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.</p>								